

02-13-01

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PTO/SB/05 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Attorney Docket No.	34759.9600
	First Inventor	Ismail Emesh
	Title	Method and Apparatus for Electrochemical Planarization of a ...
	Express Mail Label No.	EL608986740US

APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents.</small>	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, D.C. 20231
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1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original, and a duplicate for fee processing)</small> 2. <input type="checkbox"/> Applicant claims small entity status. <small>See 37 CFR 1.27.</small> 3. <input checked="" type="checkbox"/> Specification <small>[Total Pages 28]</small> <small>(preferred arrangement set forth below)</small> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) <small>[Total Sheets 8]</small> 5. Oath or Declaration <small>[Total Pages]</small> a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <small>(for continuation/divisional with Box 18 completed)</small> i. <input type="checkbox"/> DELETION OF INVENTOR(S) <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</small> 6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small> a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies
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ACCOMPANYING APPLICATION PARTS	
9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <small>(when there is an assignee)</small> 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Statement (IDS)/PTO-1449 Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small> 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small> 16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. <input type="checkbox"/> Other:	

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Prior application information: Examiner _____ Group / Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS					
<input checked="" type="checkbox"/> Customer Number or Bar Code Label		20322		or <input checked="" type="checkbox"/> Correspondence address below	
<small>(Insert Customer No. or Attach bar code label here)</small>					
Name	Deborah Henscheid				
	Snell & Wilmer, LLP				
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	400 East Van Buren				
City	Phoenix	State	Arizona	Zip Code	85004-2202
Country	USA	Telephone	602/382-6506	Fax	602/382-6070

Name (Print/Type)	Deborah Henscheid	Registration No. (Attorney/Agent)	35,940
Signature	<i>Deborah Henscheid</i>	Date	February 12, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number	To be assigned
Filing Date	February 12, 2001
First Named Inventor	Ismail Emesh
Examiner Name	To be assigned
Group Art Unit	To be assigned
Attorney Docket No.	34759.9600

TOTAL AMOUNT OF PAYMENT \$1,538.00

PTO
09/781593
02/12/01

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **19-2814**
Deposit Account Name **Snell & Wilmer**

- ☒ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR § 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
		101 710 201 355		Utility filing fee	710.00
		106 320 206 160		Design filing fee	
		107 490 207 245		Plant filing fee	
		108 710 208 355		Reissue filing fee	
		114 150 214 75		Provisional filing fee	
SUBTOTAL (1)					\$710.00

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
66	-20** = 46	X 18.00 =	828.00
Independent Claims	3 - 3** = 0	X	0.00
Multiple Dependent			

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
		103 18 203 9		Claims in excess of 20	
		102 80 202 40		Independent claims in excess of 3	
		104 270 204 135		Multiple dependent claim, if not paid	
		109 80 209 40		** Reissue independent claims over original patent	
		110 18 210 9		** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					\$828.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
		105 130 205 65		Surcharge - late filing fee or oath	
		127 50 227 25		Surcharge - late provisional filing fee or cover sheet	
		139 130 139 130		Non - English specification	
		147 2,520 147 2,520		For filing a request for ex parte reexamination	
		112 920* 112 920*		Requesting publication of SIR prior to Examiner action	
		113 1,840* 113 1,840*		Requesting publication of SIR after Examiner action	
		115 110 215 55		Extension for reply within first month	
		116 390 216 195		Extension for reply within second month	
		117 890 217 445		Extension for reply within third month	
		118 1,390 218 695		Extension for reply within fourth month	
		128 1,890 228 945		Extension for reply within fifth month	
		119 310 219 155		Notice of Appeal	
		120 310 220 155		Filing a brief in support of an appeal	
		121 270 221 135		Request for oral hearing	
		138 1,510 138 1,510		Petition to institute a public use proceeding	
		140 110 240 55		Petition to revive - unavoidable	
		141 1,240 241 620		Petition to revive - unintentional	
		142 1,240 242 620		Utility issue fee (or reissue)	
		143 440 243 220		Design issue fee	
		144 600 244 300		Plant issue fee	
		122 130 122 130		Petitions to the Commissioner	
		123 50 123 50		Processing fee under 37 CFR § 1.17(q)	
		126 180 126 180		Submission of Information Disclosure Statement	
		581 40 581 40		Recording each patent assignment per property (times number of properties)	
		146 710 246 355		Filing a submission after final rejection (37 CFR § 1.129(a))	
		149 710 249 355		For each additional invention to be examined (37 CFR § 1.129(b))	
		179 710 279 355		Request for Continued Examination (RCE)	
		169 900 169 900		Request for expedited examination of a design application	
Other fee (specify)					

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

SUBMITTED BY

Name (Print/Type)	Deborah Henschel	Registration No. (Attorney/Agent)	35,940	Telephone	602/382-6506
Signature	<i>Deborah Henschel</i>	Date	February 12, 2001		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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